

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
29655-1

Total Pages in this Submission
71

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SPINAL PLATE ASSEMBLY

and invented by:

Kamaljit S. Paul

22241 U.S. PTO
10/627137

07/24/03

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/014,409

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/202,705

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 33 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal b. ☐ Informal Number of Sheets 8
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers *(cover sheet & documents)*
9. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EV 263 454 811 US

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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: 1

17. ☒ Additional Enclosures (please identify below):

Application Data Sheet - 2 sheets
Customer Number & Correspondence Address - 1 sheet
Certificates of Mailing - 4 sheets

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	58	- 20 =	38	x \$9.00	\$342.00
Indep. Claims	3	- 3 =	0	x \$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$375.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$717.00

- ☒ A check in the amount of \$717.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 23-2130 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: July 24, 2003


Signature

Thomas D. Wilhelm (28,794)
Wilhelm Law Service
100 W. Lawrence St., Third Floor
Appleton, WI 54911

Customer Number:
CC:



23482

Phone: (920) 831-0100

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

APPLICANTS: Kamaljit S. Paul

DOCKET NO:
29655-1SERIAL NO:
UnassignedFILING DATE:
Concurrently HerewithEXAMINER:
UnassignedGROUP ART UNIT:
Unassigned

TITLE OF INVENTION:

SPINAL PLATE ASSEMBLY

I hereby certify that the following correspondence:

Declaration for Patent Application - 1 sheet

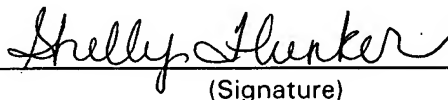
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on July 24, 2003.

EV 263 454 811 US

("Express Mail" Mailing Label Number)

Shelly Flunker

(Typed or printed name of person signing certificate)



(Signature)

NOTE: Each paper must have its own Certificate of Mailing.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

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29655-1SERIAL NO:
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Concurrently HerewithEXAMINER:
UnassignedGROUP ART UNIT:
Unassigned

TITLE OF INVENTION:

SPINAL PLATE ASSEMBLY

I hereby certify that the following correspondence:

Set of Formal Drawings - 8 sheets

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on July 24, 2003.

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UnassignedGROUP ART UNIT:
Unassigned

TITLE OF INVENTION:

SPINAL PLATE ASSEMBLY

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Application Data Sheet - 2 Sheets

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:
Kamaljit S. Paul et al

Group Art Unit: Unassigned

Serial Number: Unassigned

Examiner: Unassigned

Filed: Concurrently herewith

For: SPINAL PLATE ASSEMBLY

**CUSTOMER NUMBER &
CORRESPONDENCE ADDRESS**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Here is the correspondence address which relates to the affixed customer number and above-referenced Application:

Thomas D. Wilhelm
Wilhelm Law Service
100 W. Lawrence Street
Third Floor
Appleton, WI 54911

Phone: 920-831-0100
FAX: 920-831-0101

Respectfully submitted,
Kamaljit S. Paul

By: Thomas D. Wilhelm
Thomas D. Wilhelm
Attorney for Applicants
(Reg. No. 28,794)

Customer Number:



23482

PATENT TRADEMARK OFFICE

July 24, 2003
Appleton, Wisconsin

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

APPLICANTS: Kamaljit S. Paul

DOCKET NO:
29655-1

SERIAL NO:
Unassigned

FILING DATE:
Concurrently Herewith

EXAMINER:
Unassigned

GROUP ART UNIT:
Unassigned

TITLE OF INVENTION:

SPINAL PLATE ASSEMBLY

I hereby certify that the following correspondence:

Verified Statement (Declaration) Claiming Small Entity - 1 sheet

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on July 24, 2003.

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